

# Additional Individuals Form



Please use this form if there are more than two (2) individuals to include in Section 1 or 2 of the Application Form (including trustees, company directors, partners, beneficial owners or beneficiaries)

## Registered email address for the investment account

### Additional Individual 1

Individual type:	<input type="checkbox"/> Individual/ Joint investor <input type="checkbox"/> Individual trustee <input type="checkbox"/> Company director <input type="checkbox"/> Partner <input type="checkbox"/> Beneficiary		
Title:		Date of birth: (DD/MM/YYYY)	
Given name:		Surname:	
Detail of class, if any (beneficiaries only)		US citizen or resident of the US for tax purposes (if applicable)	<input type="checkbox"/> Yes <input type="checkbox"/> No <i>If YES, please download and complete a FATCA form available at <a href="http://ijcapital.com.au/forms/">http://ijcapital.com.au/forms/</a></i>
Non-resident of Australia, other than US citizen or tax resident (if applicable)	<input type="checkbox"/> Yes <input type="checkbox"/> No <i>If YES, please download and complete a Self-Certification Declaration Form available at <a href="http://ijcapital.com.au/forms/">http://ijcapital.com.au/forms/</a></i>	Politically exposed person (PEP) details	<input type="checkbox"/> Yes, please describe your position <input type="checkbox"/> No <i>Please see <a href="http://www.austrac.gov.au">www.austrac.gov.au</a> for PEP definition</i>
Residence Address:			
Suburb:		State:	
Postcode:		Country:	
Email:		Mobile number:	
Telephone:		Occupation:	

Additional information for sole traders

Full Business Name (if any)	
ABN (if obtained)	
Registered Address of Principal Place of the Business	

### Identification requirements

There are two methods that may be used to verify your identity for Anti-Money Laundering and Counter-Terrorism Financing (AML/CTF) purposes. You may either complete the fields within this form to have your identification verified online or attach certified copies of the documents outlined in the further identification checklist at back of this Form. IJ Capital is required by AML/CTF laws to identify and verify your identity before providing financial services to you. Please see IJ Capital's privacy policy on the website [www.ijcapital.com.au](http://www.ijcapital.com.au) in relation to our use of your personal information.

#### Driver Licence

Driver licence no.:		Drivers licence expiry date:	
Card number		State of issue:	
Your name, exactly as it appears on your licence			

#### Australian passport

Passport number		Expiry date	
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# Additional Individuals Form



Your name, exactly as it appears on your passport		Place of birth (as shown on your passport)	
Country			

**Foreign passport**

Passport number		Expiry date	
Your name, exactly as it appears on your passport		Place of birth (as shown on your passport)	
Country			

## Additional Individual 2

Individual type:	<input type="checkbox"/> Individual/ Joint investor <input type="checkbox"/> Individual trustee <input type="checkbox"/> Company director <input type="checkbox"/> Partner <input type="checkbox"/> Beneficiary		
Title:		Date of birth: (DD/MM/YYYY)	
Given name:		Surname:	
Detail of class, if any (beneficiaries only)		US citizen or resident of the US for tax purposes (if applicable)	<input type="checkbox"/> Yes <input type="checkbox"/> No <i>If YES, please download and complete a FATCA form available at <a href="http://ijcapital.com.au/forms/">http://ijcapital.com.au/forms/</a></i>
Non-resident of Australia, other than US citizen or tax resident (if applicable)	<input type="checkbox"/> Yes <input type="checkbox"/> No <i>If YES, please download and complete a Self-Certification Declaration Form available at <a href="http://ijcapital.com.au/forms/">http://ijcapital.com.au/forms/</a></i>	Politically exposed person (PEP) details	<input type="checkbox"/> Yes, please describe your position <input type="checkbox"/> No <i>Please see <a href="http://www.austrac.gov.au">www.austrac.gov.au</a> for PEP definition</i>
Residence Address:			
Suburb:		State:	
Postcode:		Country:	
Email:		Mobile number:	
Telephone:		Occupation:	

### Identification requirements

There are three methods that may be used to verify your identity for Anti-Money Laundering and Counter-Terrorism Financing (AML/CTF) purposes. You may either complete the fields within this form to have your identification verified online or attach certified copies of the documents outlined in the further identification checklist at back of this Form. IJ Capital is required by AML/CTF laws to identify and verify your identity before providing financial services to you. Please see IJ Capital's privacy policy on the website [www.ijcapital.com.au](http://www.ijcapital.com.au) in relation to our use of your personal information.

**Driver Licence**

Driver licence no.:		Drivers licence expiry date:	
Card number		State of issue:	
Your name, exactly as it appears on your licence			

**Australian passport**

Passport number		Expiry date	
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# Additional Individuals Form



Your name, exactly as it appears on your passport		Place of birth (as shown on your passport)	
Country			

**Foreign passport**

Passport number		Expiry date	
Your name, exactly as it appears on your passport		Place of birth (as shown on your passport)	
Country			

## 11 INVESTOR DECLARATION AND SIGNATURES

When you complete this Application Form you make the following declarations:

- I/we have read and understood the PDS to which this Application Form applies, including any supplemental information;
- I/we have received and accepted the offer to invest in Australia;
- The information provided in my/our Application Form is true, correct and complete in all respects;
- I/we agree to be bound by the provisions of the Constitution governing the Fund and the terms and conditions of the PDS, each as amended from time to time;
- I/we acknowledge the Issuer reserves the right to reject any application or scale back an application in its absolute discretion;
- If applicable, after assessing my/our circumstances, I/we have obtained my/our own independent financial advice prior to investing in the Fund;
- If this Application Form is signed under Power of Attorney, each Attorney declares he/she has not received notice of revocation of that power (a certified copy of the Power of Attorney should be submitted with this Application Form);
- I am/we are over 18 years of age and I/we are eligible to hold units/investment in the Fund;
- I/we have all requisite power and authority to execute and perform the obligations under the PDS and this Application Form;
- I/we acknowledge that application monies will be held in a trust account until invested in the Fund or returned to me/us. Interest will not be paid to applicants in respect of their application monies regardless of whether their monies are returned;
- I/we have read the information on privacy and personal information contained in the PDS and consent to my/ our personal information being used and disclosed as set out in the PDS;
- I/we acknowledge that the Issuer may deliver and make reports, statements and other communications available in electronic form, such as e-mail or by posting on a website;
- I/we indemnify the Issuer and each of its related bodies corporate, directors and other officers, shareholders, servants, employees, agents and permitted delegates (together, the Indemnified Parties) and to hold each of them harmless from and against any loss, damage, liability, cost or expense, including reasonable legal fees (collectively, a Loss) due to or arising out of a breach of representation, warranty, covenant or agreement by me/us contained in any document provided by me/us to the Issuer, its agents or other parties in connection with my/our investment in the Fund. The indemnification obligations provided herein survive the execution and delivery of this Application Form, any investigation at any time made by the Issuer and the issue and/or sale of the investment;
- To the extent permitted by law, I/we release each of the Indemnified Parties from all claims, actions, suits or demands whatsoever and howsoever arising that I/we may have against any Indemnified Party in connection with the PDS or my/our investment;

# Additional Individuals Form



- Other than as disclosed in this Application Form, no person or entity controlling, owning or otherwise holding an interest in me/us is a United States citizen or resident of the United States or any other country for taxation purposes;
- I/we will promptly notify the Issuer of any change to the information I/we have previously provided to the Issuer, including any changes which result in a person or entity controlling, owning or otherwise holding an interest in me/us;
- I/we consent to the Issuer disclosing any information it has in compliance with its obligations under the US Foreign Account Tax Compliance Act (FATCA) and the OECD Common Reporting Standards for Automatic Exchange of Financial Account Information(CRS) and any related Australian law and guidance implementing the same. This may include disclosing information to the Australian Taxation Office, who may in turn report that information to the relevant tax authorities as required;
- I/we acknowledge that the collection of my/our personal information may be required by the Financial Transaction Reports Act 1988, the Corporations Act 2001, the Income Tax Assessment Act 1936, the Income Tax Assessment Act 1997, the Taxation Administration Act 1953, the FATCA and CRS (includes any related Australian law and guidance) and the Anti-Money Laundering and Counter-Terrorism Financing Act 2006. Otherwise, the collection of information is not required by law, but I/we acknowledge that if I/we do not provide personal information, the Issuer may not allow me/us to invest in the Fund;
- I am/we are not aware and have no reason to suspect that the monies used to fund my/our investment in the Fund have been or will be derived from or related to any money laundering, terrorism financing or similar or other activities illegal under applicable laws or regulations or otherwise prohibited under any international convention or agreement (AML/CTF Law);
- I/we will provide the Issuer with all additional information and assistance that the Issuer may request in order for the Issuer to comply with the AML/CTF Law, FATCA and CRS;
- I/we acknowledge that the Issuer may decide to delay or refuse any request or transaction, including by suspending the issue or redemption of investment in the Fund, if the Issuer is concerned that the request or transaction may breach any obligation of, or cause the Issuer to commit or participate in an offence (including under the AML/CTF Law, FATCA and CRS).

When you complete this Application Form you acknowledge the following statements:

- Where the RE or its authorised representatives (IJFSL) has distributed the PDS and TMD directly to the Investor, IJFSL confirms that they have undertaken a review and deemed the investor to be within the TMD
- Where a consumer is making an application for the product directly with the Issuer, as part of the application process, and in addition to confirming that the PDS has been read, that the consumer has acknowledged the product's attributes set out in the target market determination.

Signature 1*	Signature 2*
Full name	Full name
Date	Date
Tick capacity (mandatory for companies): <input type="checkbox"/> Director and Company Secretary	Tick capacity (mandatory for companies): <input type="checkbox"/> Director and Company Secretary

# Additional Individuals Form



<input type="checkbox"/> Director <input type="checkbox"/> Secretary	<input type="checkbox"/> Director <input type="checkbox"/> Secretary
Company Seal (if applicable)	Company Seal (if applicable)

\*Joint applicants must both sign;

\*Company applications must be signed by two Directors, a Director and Secretary or the Sole Director and Secretary of the company, details of which appear in Section 3.1; or

\*For trust/superannuation fund applications each individual trustee must sign.

## How to submit your form

Please email your form and all required documents to:

IJ Financial Services Limited (ACN 162 530 449, AFSL 443031)

Level 7, 199 George St, Brisbane city, QLD 4000, Australia

[contact@ijcapital.com.au](mailto:contact@ijcapital.com.au)

Please contact us if you have any questions about the process.

Phone Investor Relations on 1300 533 776 or email [contact@ijcapital.com.au](mailto:contact@ijcapital.com.au)